

# Forward Management, LLC

## Privacy Policy

Forward Management appreciates the privacy concerns and expectations of our clients. We are committed to maintaining a high level of privacy and confidentiality when it comes to your personal information and we use that information only where permitted by law. We recognize that, as our client, you not only entrust us with your money but with your personal information. Your trust is important to us and you can be sure we will continue our tradition of protecting your personal information. We provide this privacy notice to you so that you may understand our policy with regard to the collection and disclosure of nonpublic personal information (“Information”) pertaining to you.

### **We collect the following categories of information about you:**

- Information we receive from you on applications or other forms
- Information about your transactions with us, our affiliates or others

We do not disclose any Information about you or any current or former client to anyone, except as permitted by law. We may disclose Information about you and any former client to our affiliates and to nonaffiliated third parties, as permitted by law. We do not disclose personal information that we collect about you to non-affiliated companies except to enable them to provide marketing services on our behalf, to perform joint marketing agreements with other financial institutions, or in other limited circumstances permitted by law. For example, some instances where we may disclose Information about you to third parties include: for servicing and processing transactions, to protect against fraud, for institutional risk control to respond to judicial process or to perform services on our behalf. When we share personal information about you with these companies, we require them to limit their use of the personal information to the particular purpose for which it was shared and we do not allow them to share your personal information with others except to fulfill that limited purpose. In addition, these companies are required to adhere to our privacy standards with respect to any personal information that we provide them.

### **Protecting the Security and Confidentiality of Your Information**

We restrict access to Information about you to those employees who need to know that Information to provide products or services to you. We maintain physical, electronic, and procedural safeguards to ensure the confidentiality of your Information. Our privacy policies apply only to those individual investors who have a direct client relationship with us. If you receive investment services through a relationship with a third-party broker, bank, investment adviser or other financial service provider, the third-party’s privacy policies will apply to you and ours will not.

If you have any questions regarding our privacy policy, please contact us:

- E-Mail at [info@forwardmgmt.com](mailto:info@forwardmgmt.com)



**FORWARDMANAGEMENT**